



## PROMOTING EXCELLENCE AND ACCOUNTABILITY

*for the American public, the U.S. Congress, and the DOI and its stakeholders*

### Who we are

The **Office of Inspector General (OIG)** provides objective and independent oversight for all the U.S. Department of the Interior (DOI) bureaus and programs.

#### **Audits, Inspections, and Evaluations (AIE)**

Our audits, inspections, and evaluations are proactive reviews of DOI programs and operations.

#### **Office of Investigations (OI)**

Investigations may be criminal, civil, or administrative in nature. We respond to allegations of wrongdoing by DOI employees or those doing business with the DOI.

### What we do

## Our core values

#### **OBJECTIVITY AND INDEPENDENCE**

Objectivity and independence are the bedrock of our credibility. We gather facts, base our findings on evidence, and deliver conclusions with candor.

#### **INTEGRITY**

Integrity builds trust. We emphasize ethics; treat people with dignity and respect; and are honest, reliable, and transparent.

#### **GETTING RESULTS**

Our work provides decision makers with nonpartisan information so they can take corrective actions. We strive for continuous improvement in our own organization and the Department.

### DOI Bureaus and Select DOI Offices

Bureau of Indian Affairs (BIA) • Bureau of Indian Education (BIE) • Bureau of Land Management (BLM) • Bureau of Ocean Energy Management (BOEM) • Bureau of Reclamation (USBR) • Bureau of Safety and Environmental Enforcement (BSEE) • National Park Service (NPS) • Office of Insular Affairs (OIA) • Office of Natural Resources Revenue (ONRR) • Office of the Secretary (OS) • Office of Surface Mining, Reclamation, & Enforcement (OSMRE) • U. S. Fish and Wildlife Service (FWS) • U.S. Geological Survey (USGS)

# FY 2019 Impact

Financial Recoveries & Monetary Impact

**Audits, Inspections and Evaluations** ██████████ **\$1,585,100** ▶

**Investigations** ██████████ **\$7,306,500** ▶

Non-Monetary Impact

**AIE** Recommendations . . . . . **161**

**235** Implemented by DOI \*

**OI** Referrals . . . . . **36**

**21** Responses with action taken by DOI

\*This number includes recommendations made in prior years.

Months sentenced as jail time . . . . . **68**

Months of probation . . . . . **336**

Preventing Fraud & Protecting Taxpayers

**21** **SUSPENSION AND DEBARMENT ACTIONS** were taken by DOI to protect U.S. taxpayers from those who pose business risks, based on OIG recommendations in 2019. Excluding entities who have engaged in improper conduct, or who demonstrate serious poor performance, protects taxpayer dollars by preventing these entities from doing business with the Government for years. Also, we reviewed the compliance of four corporations, pursuant to four administrative agreements entered in lieu of debarments.

**1,000** **INDIVIDUALS WERE REACHED** during outreach presentations made by OIG employees in FY 2019. The 41 individual presentations, made to DOI employees and contractors, aim to prevent, detect, and wrongdoing and mismanagement.

Transparency

Freedom of Information Act responded to:



Investigative & Audit work reported on our website:

**OI** **97%**      **AIE** **100%**

# Results in the News

*Gas Marketer B. Charles Rogers Gas and Three Individuals Agree to Pay \$4.375 Million to Resolve Royalty Fraud Allegations*

Department of Justice Press Release,  
MAY 1, 2019

## **\$29 million**

B. Charles Rogers Gas (BCR) agreed to pay \$3.58 million to resolve False Claims Act allegations that they caused reduced mineral royalty payments to the United States; a gas supplier to BCR also has agreed to pay \$800,000. Three subjects were also ordered to pay \$24.6 million in restitution.

*Internal watchdog faults Texas oil services company*

E & E News,  
December 20, 2018

## **Fake Safety Inspections**

The contractor did not perform required platform visits and inspections and concealed that the mandatory platform visits had never occurred. The owner violated regulations and created records to support fictitious inspections of offshore platforms regulated by BSEE.

*National Park Service Working To Improve Handling of Donated Funds*

National Parks Traveler,

## **26 of 30 Parks**

Out of 30 parks visited, we found that 26 of them made, or requested their partners to make, unallowable purchases for food and beverages totaling \$282,472, and for personal gifts totaling \$12,553.

*Watchdog: Purchase of \$39K painting violated regulations*

E & E News,  
June 7, 2019

## **\$39,000**

An NPS official violated regulations when he directed and structured the purchase of a \$39,000 painting to assist an artist with avoiding a tax garnishment.

*Watchdog: Gettysburg park chief violated ethics rules, accepted \$23K in vouchers*

The Hill,  
November 1, 2018

## **\$23,000**

An NPS superintendent committed criminal violations by submitting false travel vouchers and by accepting more than \$23,000 in meals, lodging, and other in-kind gifts from non-Government organizations as compensation for his official services.



## **OIG Awarded: IT Audits**

The OIG's Jefferson Gilkeson was awarded as a 'driving force' in increasing the effectiveness of cyber security audits typically performed by Federal Inspectors General.

*SANS Announces 2019 Difference Makers Award Winners Honors People Who Made a Difference in Security*

# FY 2019 Coverage

*Investigations completed in the following categories:*

Areas of  
emphasis most  
investigated

<b>Ethical Violations.....</b>	<b>24</b>
<b>Contract &amp; Grant Fraud.....</b>	<b>20</b>
<b>Energy Fraud.....</b>	<b>8</b>
<b>Public Safety &amp; Security.....</b>	<b>5</b>
<b>Sexual Harassment.....</b>	<b>3</b>

Of all the investigations we completed in fiscal year 2019, **65 percent** included substantiated allegations and 35 percent were of unfounded allegations. Investigations serve an important role not only in providing information to hold wrongdoers accountable, but also identifying when allegations are unfounded.

Bureaus most  
included

## Audits, Inspections, and Evaluations Published

<b>15*</b>	<b>9</b>	<b>5</b>	<b>4</b>	<b>3</b>
<b>FWS</b>	<b>Multi-office</b>	<b>NPS</b>	<b>BSEE</b>	<b>BLM</b>

\*Twelve of the 15 reports that included the FWS were grant audits.

<b>BLM</b>	<b>BIA/BIE</b>	<b>NPS</b>	<b>OSS</b>	<b>FWS</b>
<b>11</b>	<b>11</b>	<b>10</b>	<b>7</b>	<b>5</b>

## Investigations Published

# Spotlight on: Computer Crimes Unit

## WHAT IS THE COMPUTER CRIMES UNIT?

The Computer Crimes Unit (CCU), staffed by highly skilled experts with unique professional and industry certifications, provides in-house digital forensic expertise. The CCU uses cutting edge technology and emerging trends to acquire, preserve, and examine digital evidence for investigations, audits and general counsel. Well-known for its expertise and results, outside law enforcement agencies have even requested support from CCU for critical casework. The CCU supports the OIG by providing digital forensic services including the acquisition, preservation and examination of digital evidence.

### BANK FRAUD AND IDENTITY THEFT

Provided digital forensic testimony in court regarding the collection and analysis of digital media; guilty verdict lead to **36** months of incarceration.

**\$128,000**  
**RESTITUTION**

### CONSPIRACY AND WIRE FRAUD BY ENERGY COMPANIES

**50** individual requests for support, an on-scene search warrant leading to examination of laptops, mobile devices, iPads and USB devices.

**\$28 MILLION**  
**RESTITUTION**

### DEEPWATER HORIZON

**45** days on off-shore oil rigs  
**36** computers in less than  
**27** terabytes of data  
**12** hours at a local DOI bureau office.

**\$4.4 BILLION**  
**RESTITUTION**

### CHILD EXPLOITATION

Performed digital media analysis, web mail and social media collections & aided in identification of potential victims and development of pattern of behavior.

**10 YEAR**  
**SENTENCING**

### CONTRACT FRAUD AND FALSE CLAIMS - UCLA PROFESSOR

Collected and analyzed  
**11** terabytes of data including:  
**51** individual hard drives & over  
**300** pieces of removable media.

**\$1.7 MILLION**  
**RESTITUTION**

## CAPABILITIES

DIGITAL device acquisitions and examinations • MOBILE device acquisitions and examinations • NETWORK traffic examinations • EMAIL acquisition and examinations • VIDEO, IMAGE & AUDIO forensics • MEMORY & MALWARE Analysis

Onsite Searches and Seizures of Digital Evidence  
Search Warrant Affidavits/Subpoena and Preservations Inserts  
Analysis, Reporting & Expert Testimony  
Provides CIGIE, DOI and Bureau Level Training and Briefings  
Forensic Hardware & Software Testing & Evaluation (T&E)  
Digital evidence destruction  
Protocol and Procedures Development  
Development of Hardware & Software Configuration Baselines  
Quality Assurance of Digital and Multimedia Forensic Findings and Conclusions

## EXPERTISE

## TRAINING & BRIEFINGS

The CCU personnel also provide training and briefings to external groups, such as at the OIG Training Institute at the Federal Law Enforcement Training Center (FLETC), or as a briefing to bureau IT personnel on computer fraud and incident reporting.

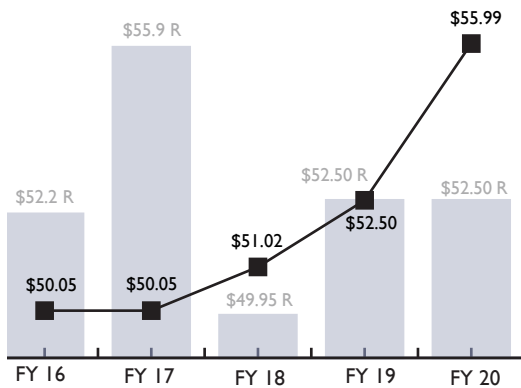
# Budget

OIG  
relative  
to DOI

	EMPLOYEES	BUDGET
DOI	61,590	\$14.5 BILLION
OIG	251	\$0.05 BILLION

**EVEN WITH A MODEST INCREASE TO THE BUDGET IN 2020,**  
*81% of our budget goes to personnel*

Budget in Millions Compared to  
Budget Requested (R)

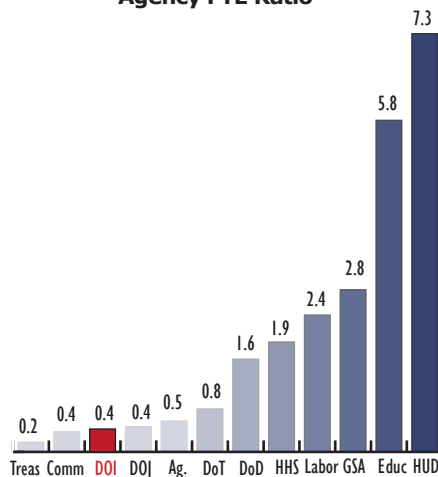


Approximate annual percentage  
of spending categories

**81%**  
PERSONNEL

**ALTHOUGH THE MAJORITY OF OUR BUDGET GOES TO PERSONNEL,**  
*we are still understaffed compared to peer OIGs and have little left for discretionary spending*

Select OIG Peers:  
Agency FTE Ratio



**12%**  
OTHER

**5%**  
RENT

**2%**  
TRAVEL

# Looking Ahead

## Select Fiscal Year 2020 Priorities and Projects



**Financial  
Return**

### Building on Our Track Record

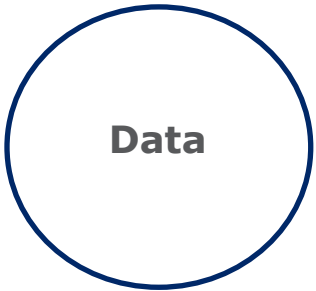
**\$3.1  
MILLION**

Average  
potential return  
per contract and  
grant audit FTE

**\$4.0  
MILLION**

Average  
potential return  
per financial crime  
investigation FTE

The OIG will focus on increasing the audits and investigations we do with potential financial return to the U.S. Treasury.



**Data**

### 3-Tiered Data Program

**CREATE a Data Office**

**CERTIFY Data Specialists**

**BUILD Staff Capacity**

The OIG will build a 3-tiered Data Program to transform operations. We will build organizational capacity to use data consistently for decision-making and analysis.



**Outreach**

### Enhancing Prevention Efforts

The OIG will launch an outreach video to emphasize DOI employees' role in preventing and reporting mismanagement with special emphasis on contracting, grantmaking, and supervisory populations.

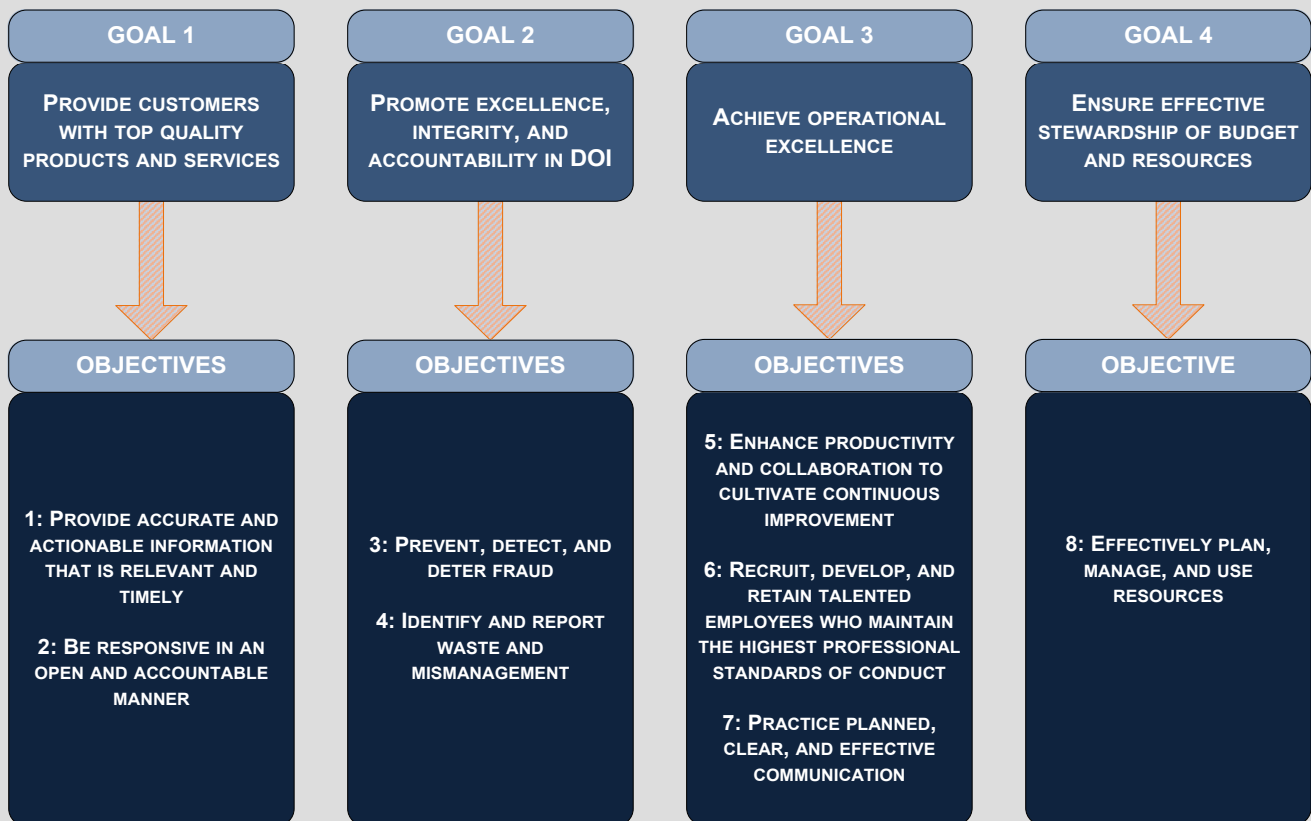
The OIG will enhance our outreach effort to reach more people in more targeted audiences.



# Strategy Map



## OIG STRATEGY





# Organizational Measures

<i>Measure</i>	<i>Offices</i>	<i>Fully Successful Target</i>	<i>Fully Successful Met</i>
Percentage completion of AIE Referrals for which issuance to PMB was completed within 30 business days or less according to AIE's Policy Manual.	AIE	60 - 62%	✓
Number of completed After Action Reviews (AARs) posted to the AAR site with assigned actionable items for completion according to the AAR policy.	AIE OI OM COS	11 - 13 11 - 13 11 - 13 30 - 35	✓ [All offices]
Percentage completion of AIE's draft reports issued according to OIG guidelines within 85 business days or less from the first submission of a draft report to HQ for review and approval.	AIE	83 - 88%	✓
Completion of revision and update of AIE's General Policy Manual finalized by target date in accordance with the 2018 revision of the Generally Accepted Government Audit Standards (GAGAS).	AIE OGC	Finalized 7/31/19	✓ [All offices]
Employee Engagement Index (EEI) score Subfactor "Leaders Lead" measured by the 2019 Federal Employee Viewpoint Survey (percentage positive).	AIE OI OM COS	65 - 71% 45 - 49% 78 - 82% 55 - 59%	✓ [All offices]
Fairness Index score for as measured by the 2018 Federal Employee Viewpoint Survey (percentage positive).	AIE OGC OI OM COS	61 - 62% 64 - 65% 61 - 62% 78 - 80% 64 - 65%	✓ [All offices]
Percentage of cases that were posted on the OIG website in summary or redacted form within 32 business days or less from the date the report was issued as directed in the Report Publication Policy.	OGC OI COS	85 - 89%	✓ [All offices]
Percentage of FOIA requests fully responded to within 33 business days or less of starting the case, following DOJ guidelines of first in, first-out, during the fiscal year, excluding voluminous requests.	OGC	85 - 89%	✓
Open Culture Rating for OGC from selected questions measured by the 2019 Federal Employee Viewpoint Survey (percentage positive).	OGC	80 - 82%	✓
Percentage of complaints that were reviewed and acted upon within 30 calendar days of receipt according to OI policy.	OI	85 - 89%	✓
Completion of project plan, analysis, and review of internal hiring procedures to determine compliance with OPM directives and provide clear guidance to OIG hiring managers by target date.	OM	-Approved by 6/15/19 -1 AAR conducted -SES briefing 10/1/19	✓
Percentage improvement average Score in the Employee Engagement Index (EEI) of the two lowest units within the Office of Investigations (OI). Measured by the 2019 Federal Employee Viewpoint Survey (percentage positive).	OI	53 - 54%	✓
Outreach Video recorded and finalized by the end of calendar year 2019.	COS	Developed plan and acquired contract by end of FY 19	✓
Attendance of a 2-day workplace conduct workshop in house organized by OIG and approved by the Deputy Inspector General.	COS	92 - 94%	✓
OIG-wide Employee Engagement overall Index score as measured by the 2019 Federal Employee Viewpoint Survey (average of percentage positive).	COS	73 - 75%	✓